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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Samuel C. Silverstein et al.

Serial No. : 09/658,698

Examiner: Amy DeCloux

Filed : September 8, 2000

Group Art Unit: 1644

For : A NOVEL METHOD FOR USING PHAGOCYTIC PARTICLES AND ATP RECEPTORS TO DELIVER ANTIGENS TO MHC CLASS I RECEPTORS TO INDUCE IMMUNITY AGAINST MICROBIAL PATHOGENS OR TUMORS OR TO SUPPRESS IMMUNITY

1185 Avenue of the Americas  
New York, NY 10036  
May 6, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following disclosures, which are listed on the PTO-1449 form attached hereto as **Exhibit A**. Copies of disclosures listed below as items 1-3 are attached as **Exhibits 1-3**. Each of disclosures 1-3 was cited in a February 6, 2002 PCT International Search Report, a copy of which is annexed hereto as **Exhibit B**.

1. Koppelman, B., et al., Evidence for peptide transport across microsomal membranes. Proceedings of the National Academy of Sciences, USA, May 1992, Vol. 89, pages 3908-3912 (**Exhibit 1**);
  
2. Ben-Shahar, S., et al., Production of Specific Major Histocompatibility Complex Class-I-Restricted Epitope by Ubiquitin-Dependent Degradation of Modified Ovalbumin in Lymphocyte Lysate. The Journal of Biological Chemistry, 22 August 1997, Vol. 272, No. 34, pages 21060-21066 (**Exhibit 2**); and



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3. Thomas, C., et al., Human Immunodeficiency Virus-1 env Impairs Fc gamma Receptor Mediated Phagocytosis Via a Cyclic Adenosine Monophosphate-Dependent Mechanism. Blood. 01 November 1997, Vol. 90, No. 9, pages 3760-3765 (**Exhibit 3**).

This Information Disclosure Statement is being submitted under 37 C.F.R. §1.97(c)(1). Pursuant to the requirements of this rule, applicants hereby state that each item of information contained in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office, i.e., the International Searching Authority, in a counterpart foreign application, i.e., PCT International Application, not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

No fee is deemed necessary in connection with the filing of this Supplemental Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,

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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:  
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